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## Content and Grade Trends in State Assessments and NAEP

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Each state is required by the No Child Left Behind Act to report the percents of its students who have reached a score level called “proficient” or above for certain grades in the content areas of reading (or a similar construct) and math. Using 2005 data from public web sites of states and the National Assessment of Educational Progress (NAEP), state-to-state differences in percents were analyzed, both unconditionally and conditionally on NAEP, for (1) trend across content areas (horizontal moderation), (2) trend across grade levels (vertical moderation), and (3) consistency with NAEP. While there was considerable variation from state to state, especially on an idealistic-realistic dimension, the results generally show that states are relatively consistent in trends across grades and contents.

Several studies have addressed the (in)consistencies from state to state in the achievement levels established for the No Child Left Behind (NCLB)-mandated label of “proficiency.” McLaughlin & Mello (2002) compared state results with those from National Assessment of Educational Progress (NAEP). Later, McLaughlin (2005) located states’ primary-level “proficiency” standards for reading on the NAEP scale, Linn (2005a) presented graphical analyses showing state percents proficient for mathematics against NAEP results for 33 states, and Fuller, Gesicki, Kang, & Wright (2006) tracked state and NAEP percents proficient over time in 12 states. Recently, the National Center for Education Statistics has released a report that compares, with each other and with NAEP cut scores, for each state the NAEP-scale cut score corresponding on the state’s NAEP distribution to the state percent proficient in fourth and eighth grades on reading and math (NCES, 2007).

Our initial goal was to collect for as many states as possible for the then-latest-available year (2005), percent proficient or better data in both reading (or English language arts) and mathematics and to present the results in graphic form. Those presentations might be used by states to compare their results with those of NAEP or to compare their proficiency levels with those of other states

with common referents. They may also be used by researchers who are interested in comparing states with differing degrees of expectations for their students’ achievement in order to satisfy NCLB’s requirements, on outcomes such as indicators of instructional change.

We also were interested in ways to index and compare features of state data across states, grades, and contents. These include such characteristics as equivalence across contents (reading and math), degrees of idealism (low percents proficient) vs. realism (high percents proficient) of the state proficiency standards, and slopes of trend lines.

### BACKGROUND

The No Child Left behind (NCLB) Act required that all states develop an annual educational assessment and accountability system in mathematics and reading/language arts in each of grades 3 through 8 and at least once in grade 10 through 12 by 2005-2006. NCLB also required states to set challenging academic content standards and student academic achievement standards (i.e. performance standards) for their statewide assessments. To comply with NCLB, states must set at

least three performance levels for their assessments: the first two, proficient and advanced are to correspond to high levels of achievement while the third level, basic, can provide a way to monitor process toward the proficient level (Linn, 2005b).

Based on state-defined performance standards, all schools and districts are to be reviewed annually in terms of the percentages of students who achieve the proficient level or above and the results compared with the previous year. There are sanctions for schools that do not meet adequate yearly progress (AYP) targets for two or more years in a row. The AYP is set to assure that schools, districts and states will have 100% of the students at the proficient level or above by 2013-2014 as required by NCLB.

It is financially quite important for states to set appropriate performance standards and cut scores across grades for their statewide assessments, but accepted guidance on how to do that is lacking. It is not surprising to find that the state performance standards have a great state-to-state variability (Linn, 2005a). It is clearly not sensible to compare achievement results state by state, directly.

Thus, if we want to compare the performance standards of different statewide assessments, we need to find a common assessment related to them (McLaughlin & Mello, 2002). NAEP has been described as a “gold standard” for monitoring the educational progress of American students (Jones, Olkin, & American Educational Research Association., 2004) and it is “the only national assessment of achievement based on defensible samples” (Shepard, Glaser, Linn, & Bohrnstedt, 1993).

One of the fundamental goals for NAEP is that it could provide consistent information about student achievement. McLaughlin and Mello (2002) have compared math achievement results in different states by estimating the NAEP scale scores that correspond to state performance standards. But the state-level data in their analyses came from a sample of school-level state assessment scores. In our research, all of our state assessment data came directly from each state’s department of education, which is more inclusive, and our analyses include reading as well as math.

There are three achievement levels for three grades (4, 8 and 12) assessed by NAEP: Basic, Proficient, and

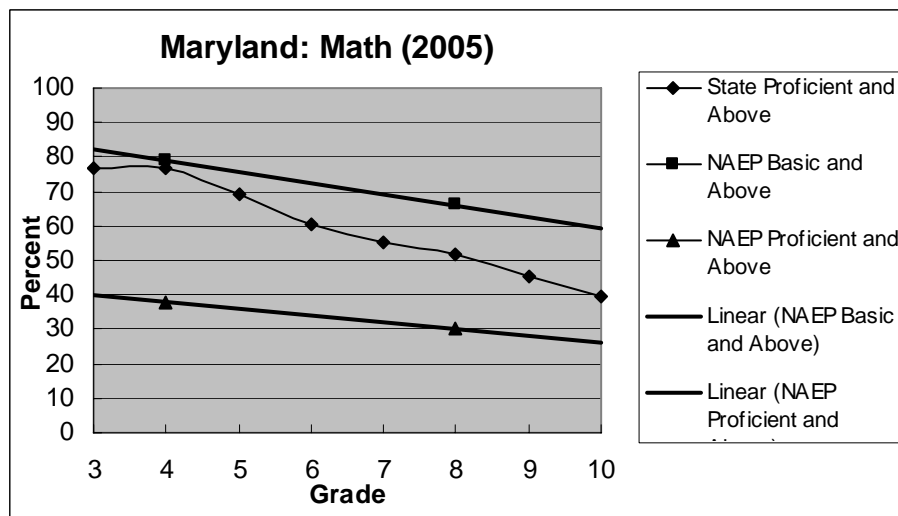
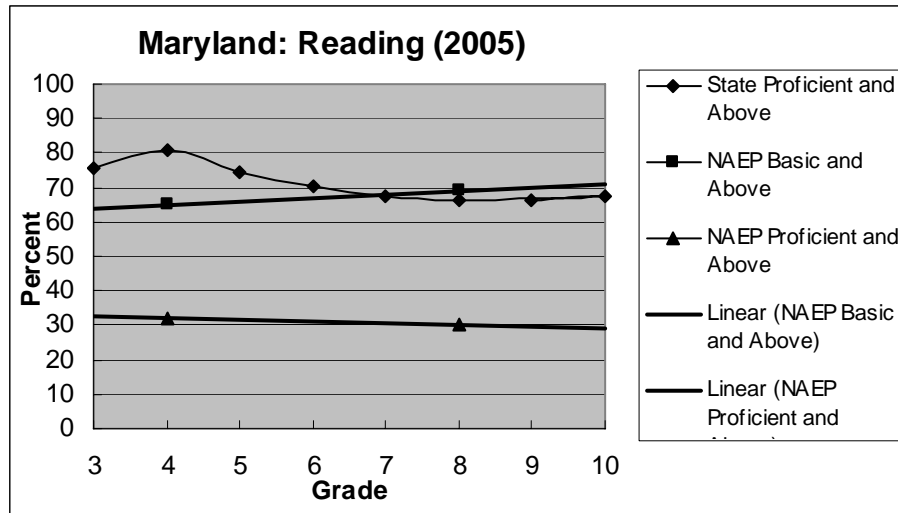
Advanced; a fourth, Below Basic, is a default for students performing below the first cutpoint. However, Pellegrino, Jones, and Mitchell (1999) have concluded that “collection of meaningful NAEP data in the twelfth grade is problematic given the insufficient motivation of high school seniors and their highly variable curricula and dropout rates,” and the National Research Council (NRC) committee recommended that the NAEP should assess students in grades 10 or 11 instead of 12 (Pellegrino, Jones, & Mitchell, 1999). Further, NAEP does not have state-representative data for grade 12 and few states have grade 12 data. Therefore, we only used NAEP data for grade 4 and 8, ignoring grade 12.

Of the three achievement levels reported by NAEP, any of them may be comparable to the term “proficient” as used in NCLB. Although the term “proficient” is used in NCLB and one might conclude that the intent of the Act was to convey a comparable degree of expectation for states, Mosquin and Chromy (2004) found state results most comparable with the NAEP Basic achievement level. In its report of the 2003 reading data, NCES (2003) focused attention on the NAEP Proficient achievement level. However, in a later, similar report, NCES (2005) focused attention on the NAEP Basic achievement level. An extensive review of these and other perspectives in the literature may be found in Stoneberg (2007). We do not wish to enter this argument, so our comparisons used both NAEP Proficient and NAEP Basic as benchmarks for states.

## METHOD

State assessment results for 2005 were compared graphically with NAEP (actual and extrapolated) across grades using a format adapted from Schafer (2005). All the NAEP data came from NAEP’s “data explorer” webpage, <http://nces.ed.gov/nationsreportcard/nde/criteria.asp>, while state performance data were from the website of each state’s department of education. A list of the links we used is in the appendix. All of these data are from 2005. We produced graphs for reading and math separately for each state.

The graphs for Maryland are shown as a typical example.



Data source: <http://msp.msde.state.md.us/downloadindex.aspx?K=99AAAA>

The data came from the Maryland School Assessment (MSA), which is a test of reading and math achievement that meets the requirements of the federal No Child Left Behind Act. The test is given each year in early March at grades 3 through 8. For MSA, there are three achievement levels: Advanced, Proficient, and Basic.

The first line labeled as “State Proficient and Above” was generated by a set of plots which represent Maryland’s percentages of students who are proficient or above at each grade evaluated by the state assessments. During data collection, we found it common that we could not get state data for every grade, i.e., from grade 3 through grade 10, and therefore we interpolated or extrapolated plot points for those missing values using a linear relation between the students’ performance percentage and grade level. Here, for the “State Proficient and Above” line of Maryland Reading, we interpolated one plot point, grade 9. For the “State Proficient and

Above” line of Maryland Math, two plot points, grade 9 and 10, were found by extrapolation. The second line labeled as “NAEP Basic and Above” represents the percentage for students who are at the basic or above level in Maryland at each grade evaluated by NAEP. Similarly, the third line shows NAEP’s percentage for Maryland’s students who are proficient or above at each grade. Since we could use only NAEP data for grade 4 and grade 8 (the only tested grades in our range), we connected and extended these two plot points to get trend lines, which help to show the difference between state assessment and NAEP assessment. (The last two labels in the legend are for these NAEP trend lines). We can read all the state graphs in a similar way.

Data were available for 43 of the 50 states. Although Nebraska’s results were aggregates of district-level tests with their own cut scores, we chose to include them since the tests were all state-approved. Seven states’ web sites

did not provide sufficient information to include them in the study. Each figure is numbered by state and further described using the state two-character acronym along with the content, reading (r) or math (m). The complete presentation of the 43 pairs of figures is beyond the scope of this manuscript. However, they are available electronically in Schafer, Liu, & Wang (2007).

## RESULTS

Three features of each state graph seem particularly interesting: (1) the nature of the state's "proficient" line (vertical moderation), (2) how the state's "proficient" line compares across contents (horizontal moderation), and (3) consistency between the state's proficient line and NAEP (basic or proficient). The data in the various state graphs were analyzed for these features and the results were graphically compared across states.

### A. Horizontal and Vertical Moderation

It is common to refer to states with high standards (cut scores) and correspondingly low percents above these standards as having "rigorous" standards. By implication, states with high percents above standards would be thought to lack rigor, a seemingly pejorative conclusion. We do not wish to imply that either high or low cut scores are more desirable and therefore have chosen to use the term "idealistic" to describe states with relatively low percents above cuts and as "realistic" those with relatively high percents above cuts; both terms have some positive and some negative connotations.

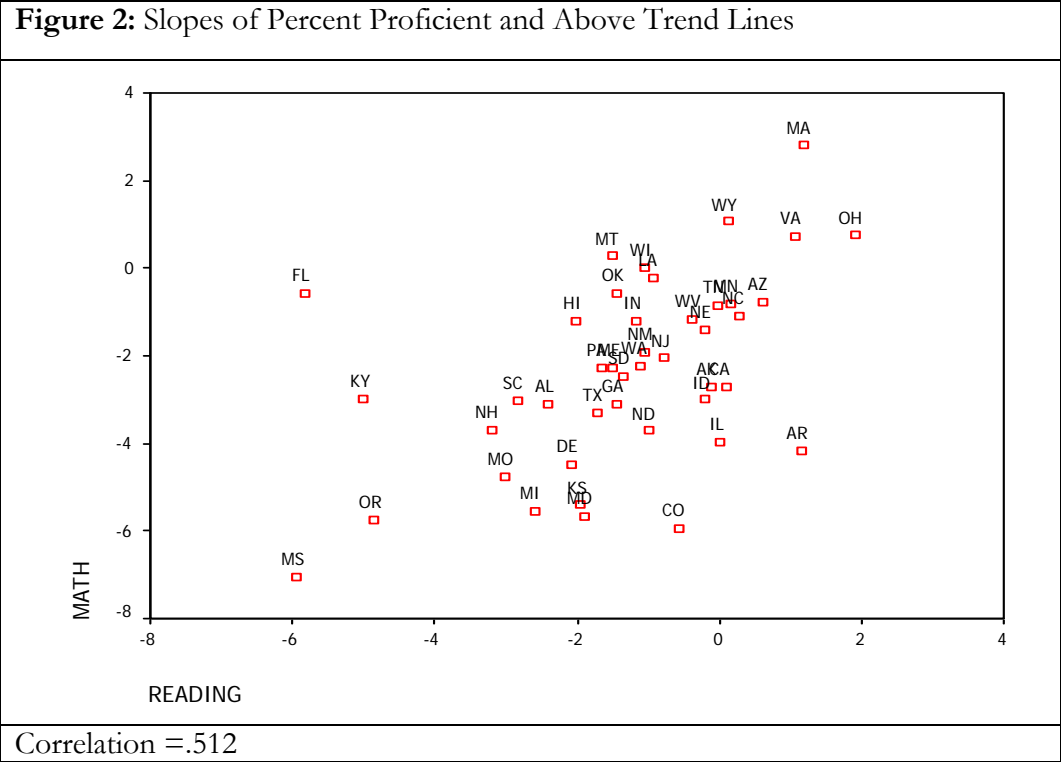
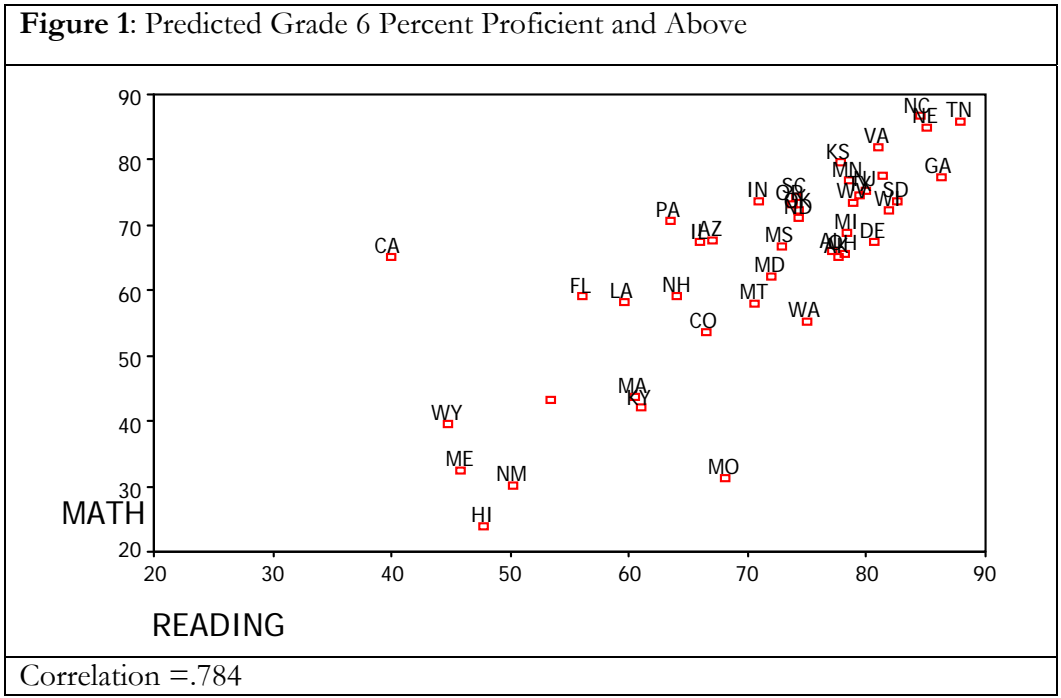
Lissitz & Huynh (2003) introduced the term "vertical moderation" to refer to the nature and constancy of trend in percents proficient for a state's proficiency standards across grade levels. They suggested that these impacts should show a reasonable trend across grades. Schafer (2005) extended the concept to "horizontal moderation," which represents the degree of consistency in idealism vs. realism across content areas. He suggested that a state with cut scores that do not show both horizontal moderation (across contents) and vertical consistency (across grade levels) will identify schools for intervention asymmetrically on either of these dimensions. Such a state might channel remediation resources unreasonably toward one content vs. another or toward schools with certain grade levels vs. others.

In order to study vertical and horizontal moderation, we estimated the regression line for each content, regressing percent proficient and above on grade level. The slope and sixth-grade intercept of each state proficient trend line are summarized in Figures 1 and 2.

The sixth-grade intercept can represent a typical status (at grade 6) of the state student performance trend across grade 3 to grade 10. From Figure 1, it is clear that states vary greatly on the typical percentage of "state proficient and above" line. For example, NC, NE and TN are over 80% in both reading and math while HI is lower than 30% in math. We can also infer that the typical statuses of the trend lines are consistent (near the diagonal) across contents, implying horizontal moderation for reading and math. That is, states with higher typical values of trend line for reading tend also to have higher values for math. The Pearson correlation coefficient ( $r=.784$ ,  $p<.01$ ) between reading and math documents this consistency. Nevertheless, there are discrepancies indicative of lack of horizontal moderation; several states, such as CA and MO, are far from the diagonal line that would indicate consistency in percents proficient and above across contents. In CA, for example, it appears that schools could be characterized as in need of intervention more on the basis of reading than math; for MO, the opposite appears to be the case.

The slope was used to represent the trend of student performance across grades 3 to 10. A positive slope means that percents proficient and above increase with increasing grade level; and negative, that they decrease. From Figure 2, we can compare the states on the basis of trends of "state proficient and above" lines. For instance, MA is over 1 for both reading and math while MS and OR are both lower than -4 for both subjects. That is, for some states the degree of idealism in performance standards increases over grade levels (those with negative slopes), while for others the degree of realism in performance standards increases over grade levels (those with positive slopes). However, most states appear to be in the negative-negative quadrant, meaning that their performance standards become more idealistic as grade level increases in both content areas, and resources for intervention may emphasize high and middle schools over elementary schools.

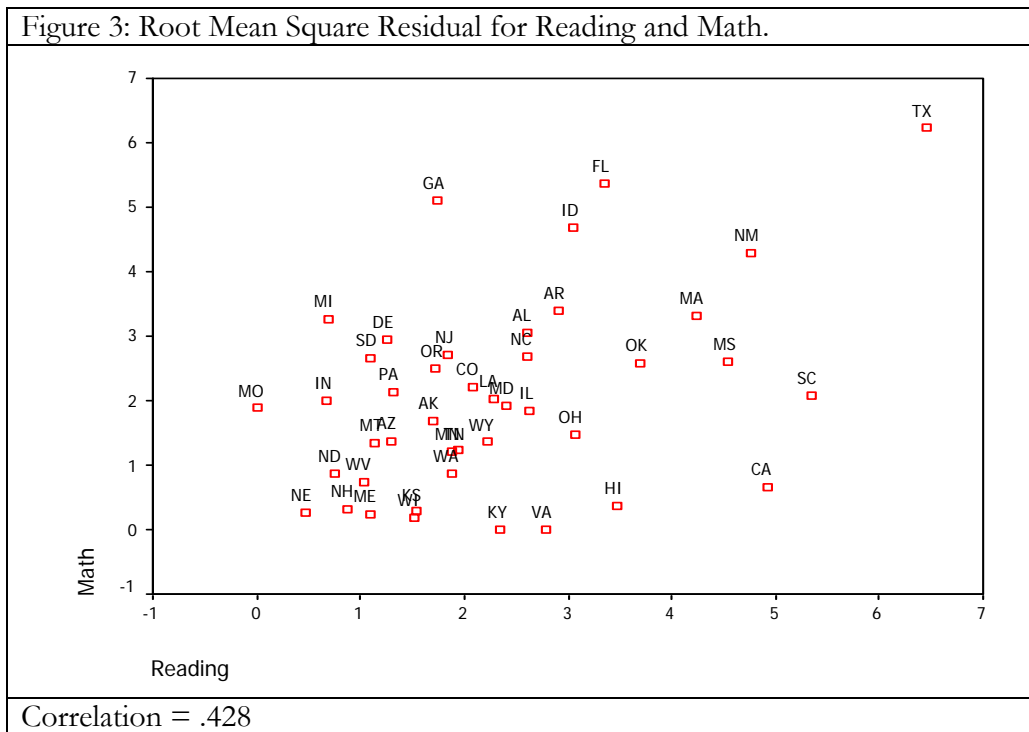
We can also conclude that the slopes of the trend lines are typically consistent across the two contents (reading and math), suggesting that degree of horizontal moderation is consistent at each grade level. States with higher slopes for reading tend to have higher slopes for math, but not as strongly as for the sixth-grade intercept comparison. The Pearson correlation coefficient was .512 ( $p<.01$ ) for slopes. However, there are some states that show clear lack of horizontal moderation in trend; AR, FL, and KY stand out as possible outliers in the graph. In these states, there appears to be interaction between horizontal and vertical moderation; that is, the trend across grades in one subject is different from the trend in the other subject.



## B. Degree of Articulation

To study the degree of constancy in vertical moderation that states show, we calculated the average distance of the grade-level points (since high schools seem often to have divergent results from the other grades, only the six points from grade three to grade eight were used) from the regression line for those grades. In other words, we calculated the sum of squared differences between observed percents proficient and above and predicted percents proficient and above from the regression line

(the sum of squares for residuals) and then found the square root of the average sum of squares to get the standard deviation, which represents lack of articulation. We generated this root mean square for regression residuals across grades in both reading and math for each state. This is a measure of the constancy, or smoothness, of the vertical moderation for a given content-state combination, with zero indicating constancy. Figure 3 displays the results for reading and math.



Most states seem to cluster in a range where there were small deviations in percents proficient from the regression lines, having a root mean square error between the structural minimum of zero and 3. There are also some clear outliers, such as TX. It should be noted that in some cases, the degree of vertical moderation is artificially high (and the corresponding measure near zero), because for states that have missing data on two or more grades, such as MO and NE, we estimated intermediate points linearly. Which data points are estimated and which are from state data are available (electronically) in our full report; see Schafer, Liu, & Wang (2007).

States that are articulated in reading also tend to be articulated in math;  $r=.428$  ( $p<.01$ ). However, some states such as GA, SC, and CA appear as outliers in Figure 3. For those states, the constancy (smoothness) of increase or decrease noted in one content area is not consistent with that of the other.

## C. Consistency with NAEP Basic and Proficient

This section contains two parts. One is a series of univariate analyses in which the differences between state proficient and above and the two NAEP standards (basic and proficient) were presented for each content and grade combination. The second part is a series of bivariate analyses in which we studied the relationship between grade levels for each content separately, and the relationship between subjects for each grade level separately.

### Univariate Analyses

Differences between state percent at and above proficient vs. NAEP percent at and above the associated cut score were calculated by subtracting the NAEP percent from the state percent (so that a positive number indicates that the state test showed more students above the cut point than NAEP). These differences are displayed in scatter



plots with state codes and in histograms. In the scatter plots, we use 0 as a reference line to show the direction and the distance between state and NAEP standards. In addition, the histograms show the shape of the distribution of those differences, with a normal curve used as a reference.

It should be remembered that each proportion that we used, particularly those associated with NAEP, have meaningfully large standard errors. As Stoneberg (2005) has pointed out, proper use of confidence intervals based on these standard errors can have dramatic effects on

state rankings. We have not included confidence intervals in our graphical presentations and suggest readers not attempt to interpret differences among states in the general neighborhood of each other.

Figure 4 displays the differences between state percents proficient and NAEP percents basic for grade four reading. The differences are also displayed as a histogram, along with the mean and standard deviation. On average, state percents proficient average 9.5 percentage points above NAEP basic, with a standard deviation of 14.1.

Figure 4: Consistency with NAEP Basic for Grade Four Reading

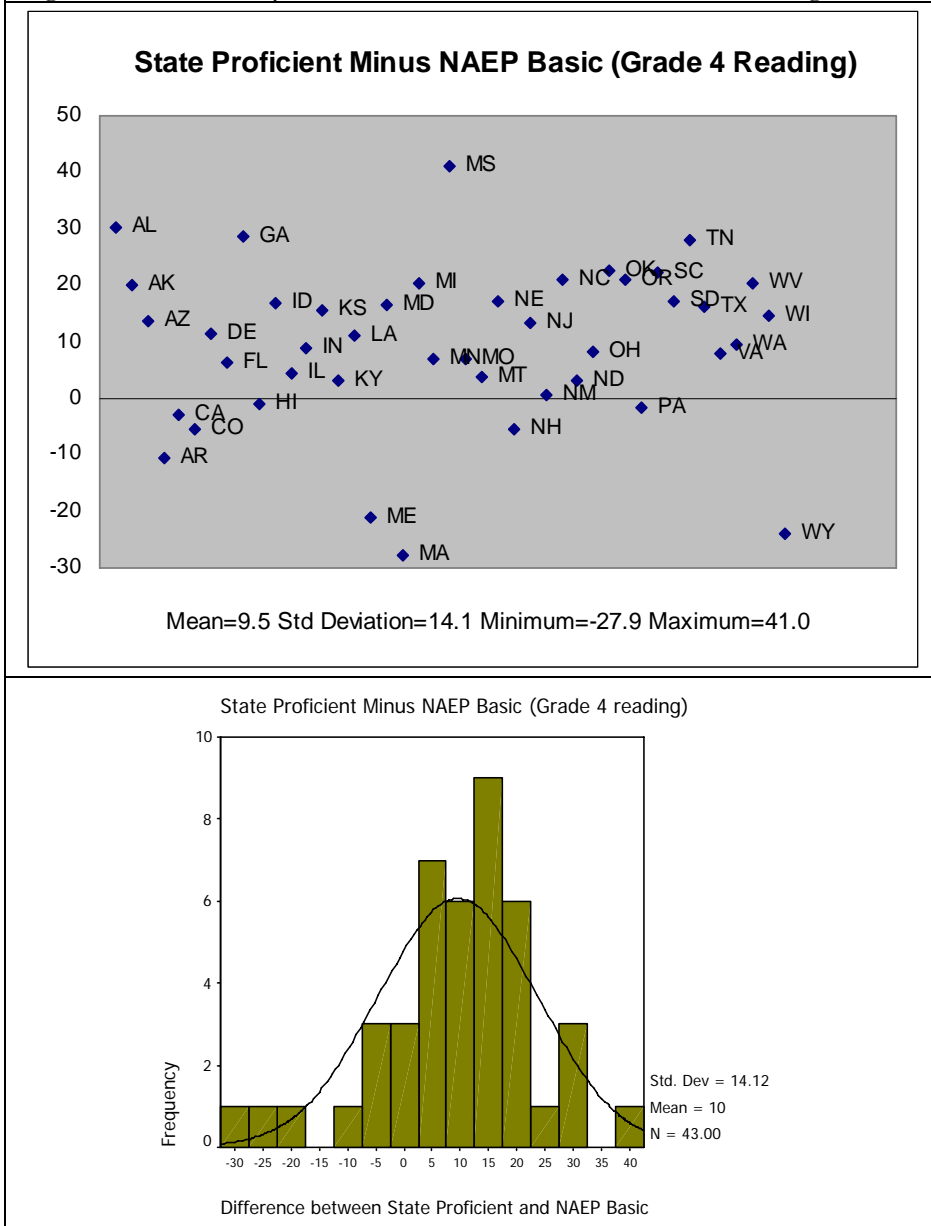


Figure 5 displays the differences between state percents proficient and NAEP percents proficient for grade four reading. The differences are also displayed as a histogram, along with the mean and standard deviation.

On average, state percents proficient average 42.9 percentage points above NAEP proficient, with a standard deviation of 13.7.

Figure 5: Consistency with NAEP Proficient for Grade Four Reading

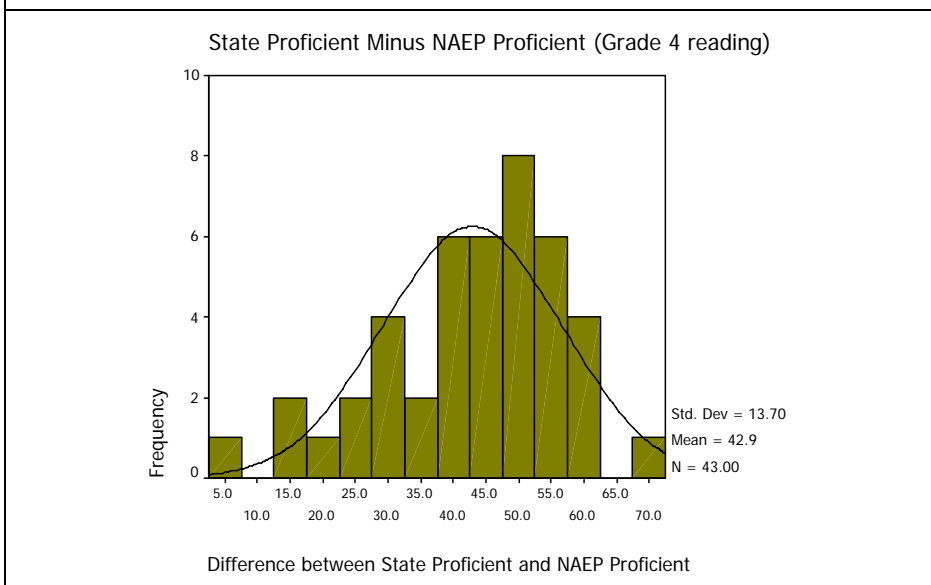
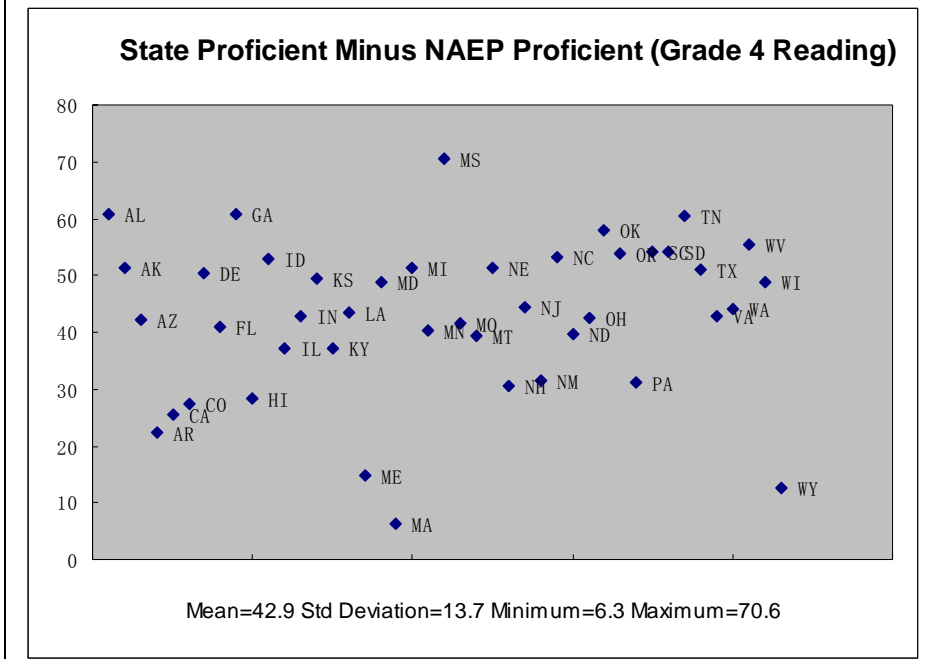




Figure 6 displays the differences between state percents proficient and NAEP percents basic for grade eight reading. The differences are also displayed as a histogram, along with the mean and standard deviation.

On average, state percents proficient average 5.8 percentage points below NAEP basic, with a standard deviation of 13.6.

Figure 6: Consistency with NAEP Basic for Grade Eight Reading

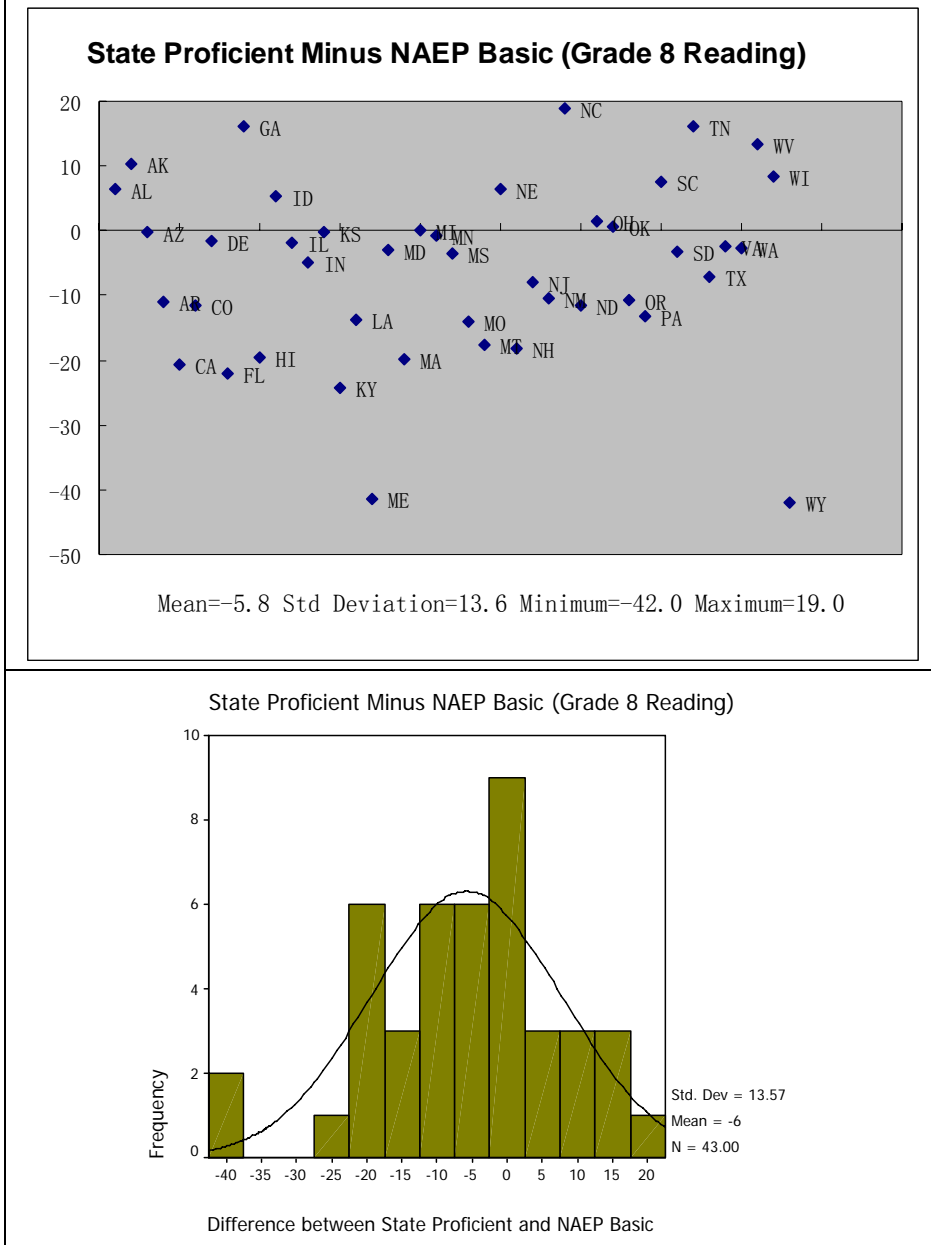


Figure 7 displays the differences between state percents proficient and NAEP percents proficient for grade eight reading. The differences are also displayed as a histogram, along with the mean and standard deviation.

On average, state percents proficient average 37.3 percentage points above NAEP proficient, with a standard deviation of 13.9.

Figure 7: Consistency with NAEP Proficient for Grade Eight Reading

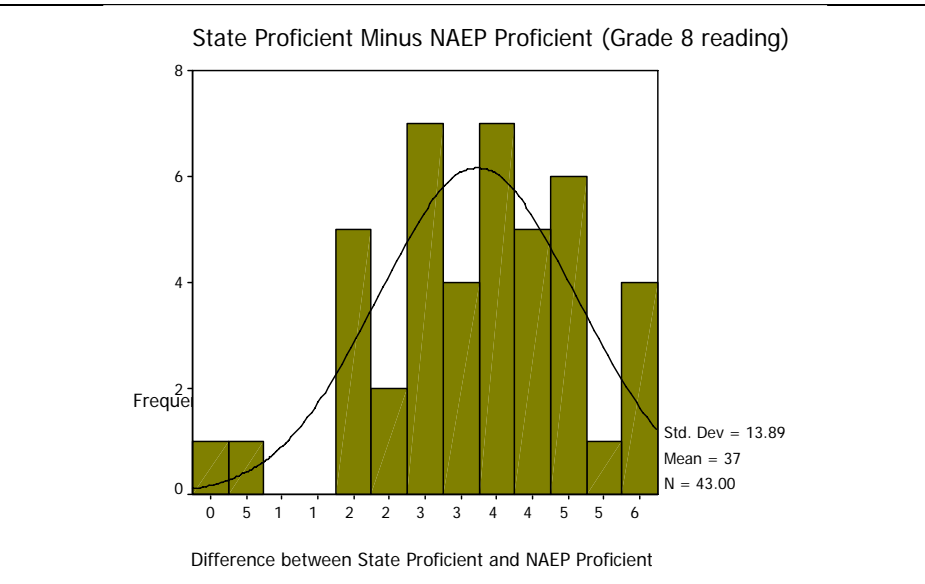
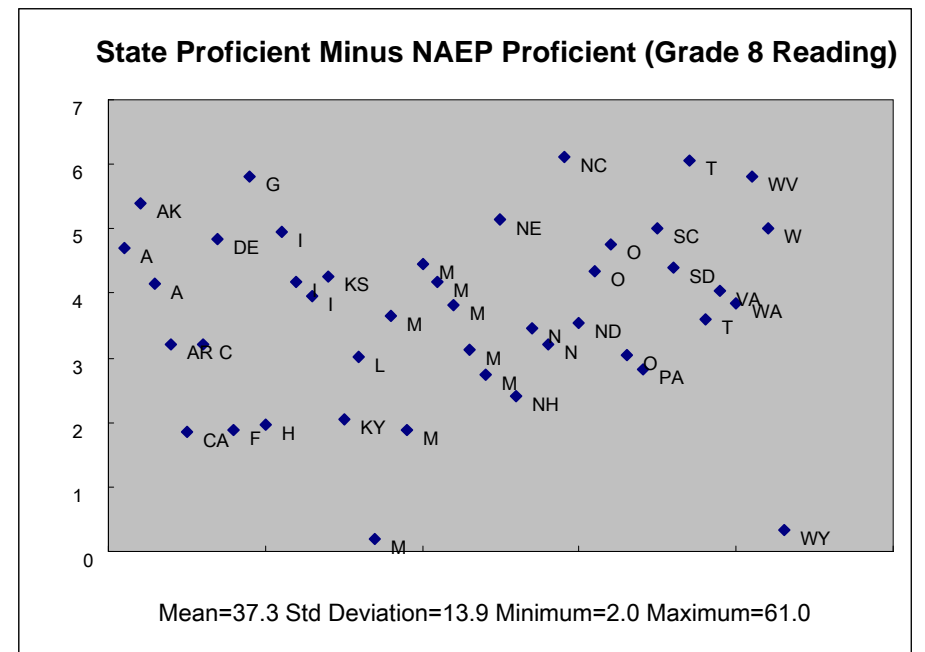


Figure 8 displays the differences between state percents proficient and NAEP percents basic for grade four math. The differences are also displayed as a histogram, along with the mean and standard deviation.

On average, state percents proficient average 11.7 percentage points below NAEP basic, with a standard deviation of 16.5.

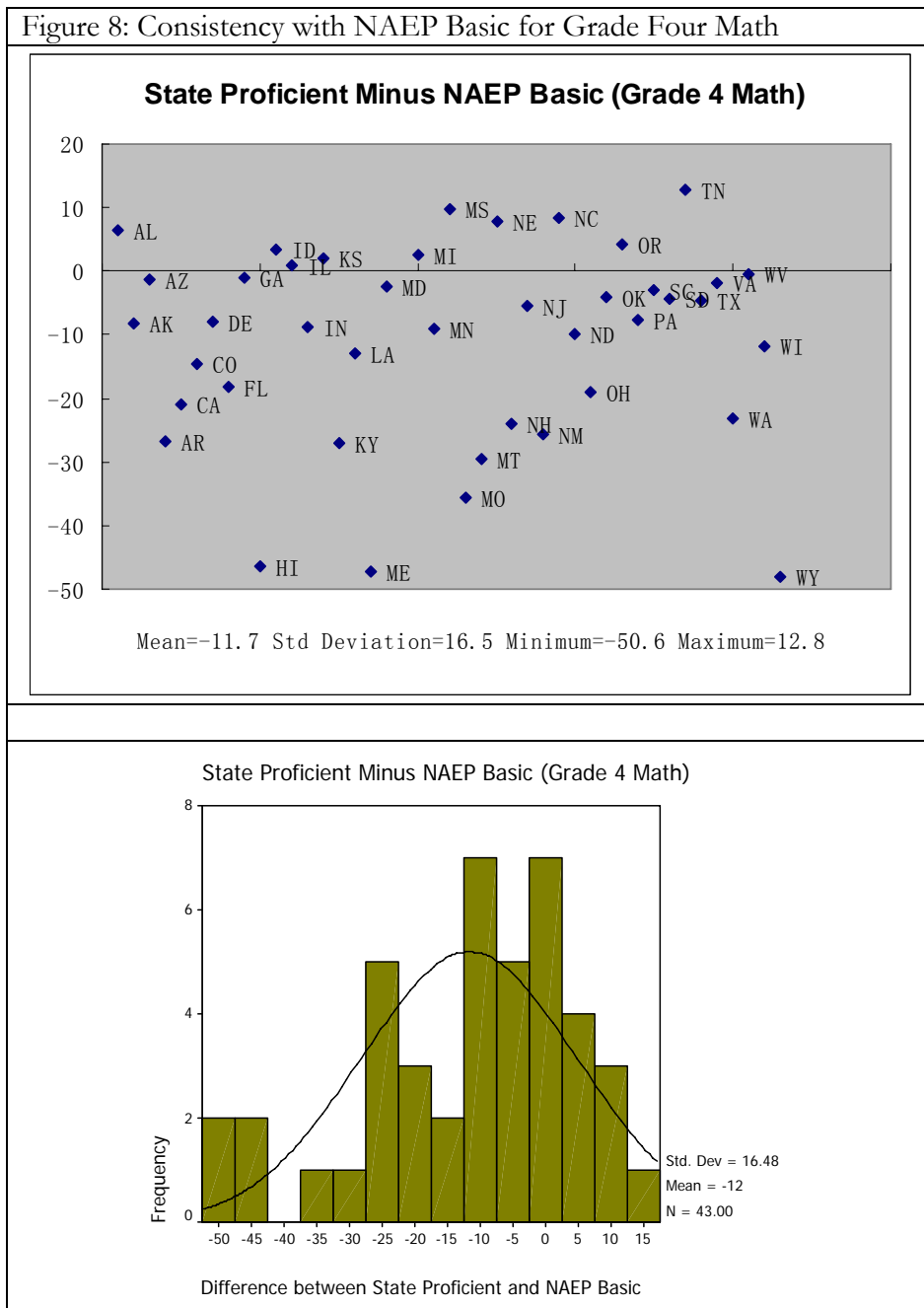


Figure 9 displays the differences between state percents proficient and NAEP percents proficient for grade four math. The differences are also displayed as a histogram, along with the mean and standard deviation.

On average, state percents proficient average 33.0 percentage points above NAEP proficient, with a standard deviation of 16.7.

Figure 9: Consistency with NAEP Proficient for Grade Four Math

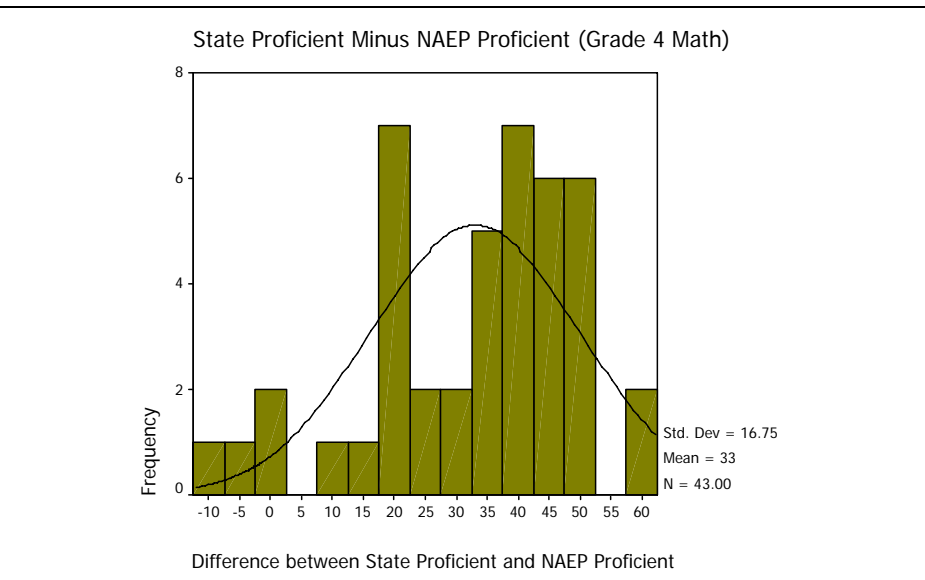
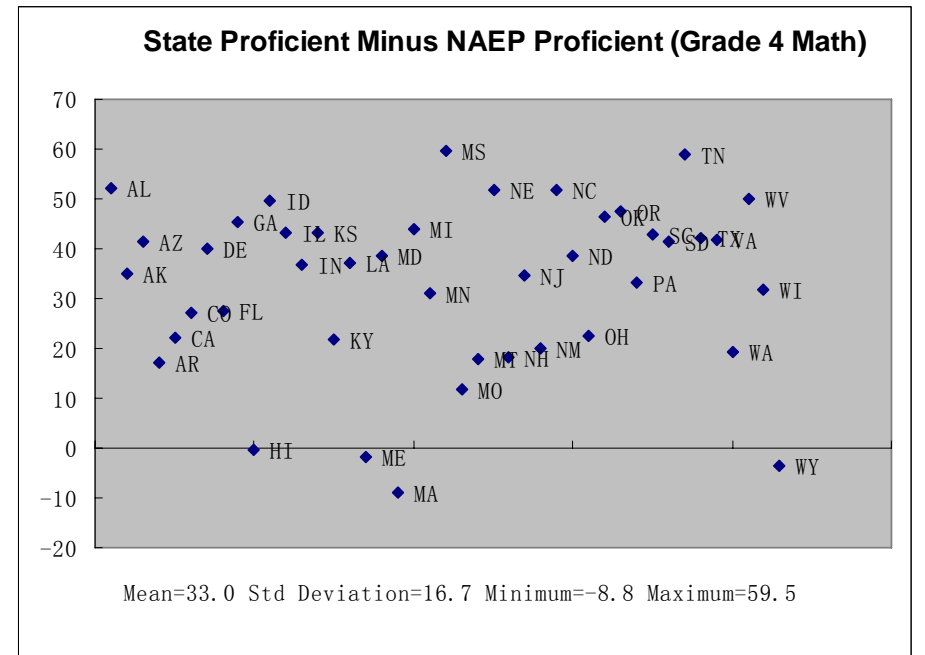


Figure 10 displays the differences between state percents proficient and NAEP percents basic for grade eight math. The differences are also displayed as a histogram, along with the mean and standard deviation.

On average, state percents proficient average 11.4 percentage points below NAEP basic, with a standard deviation of 17.1.

Figure 10: Consistency with NAEP Basic for Grade Eight Math

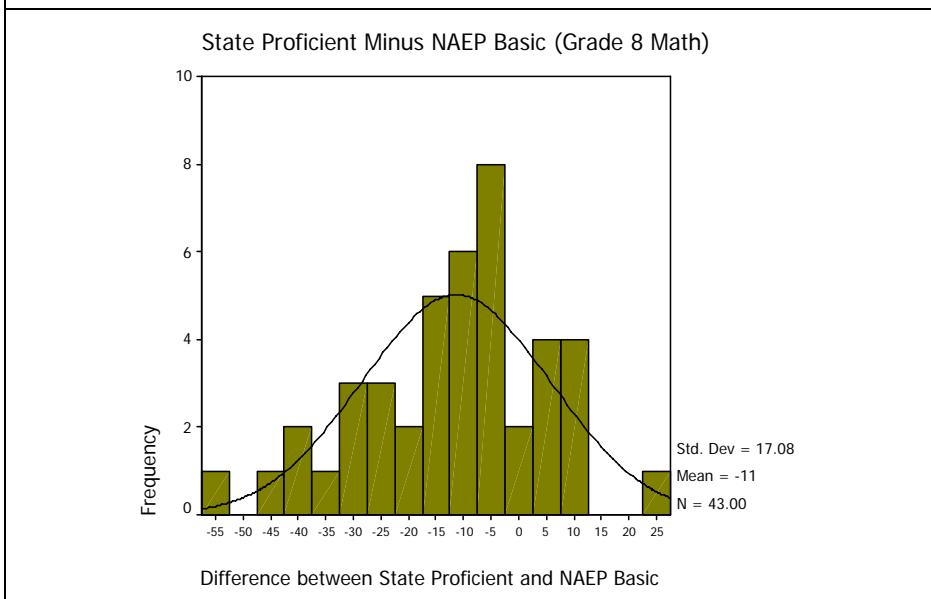
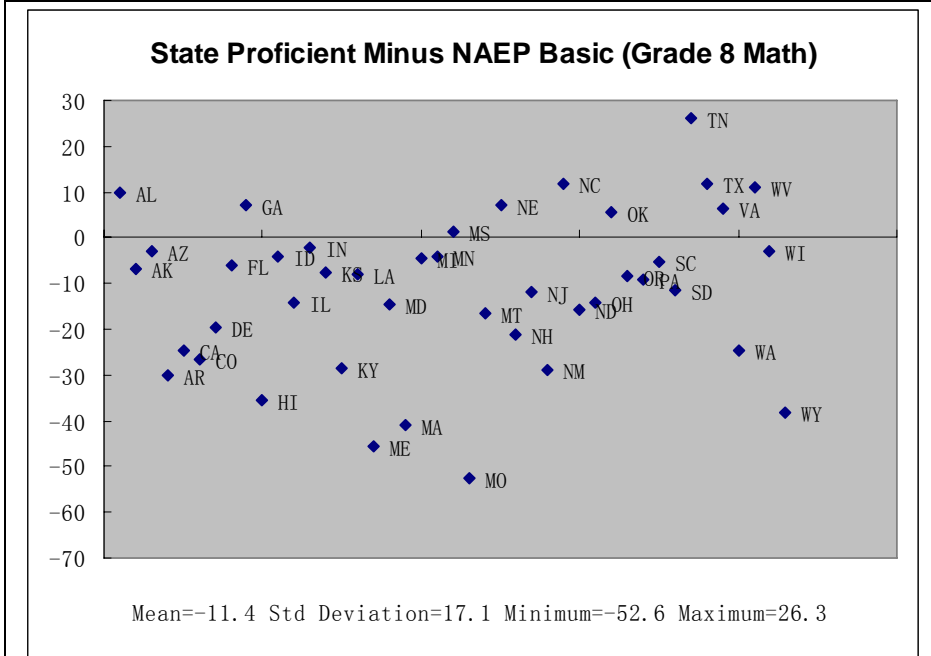


Figure 11 displays the differences between state percents proficient and NAEP percents proficient for grade eight math. The differences are also displayed as a histogram, along with the mean and standard deviation.

On average, state percents proficient average 29.3 percentage points above NAEP proficient, with a standard deviation of 17.0.

Figure 11: Consistency with NAEP Proficient for Grade Eight Math

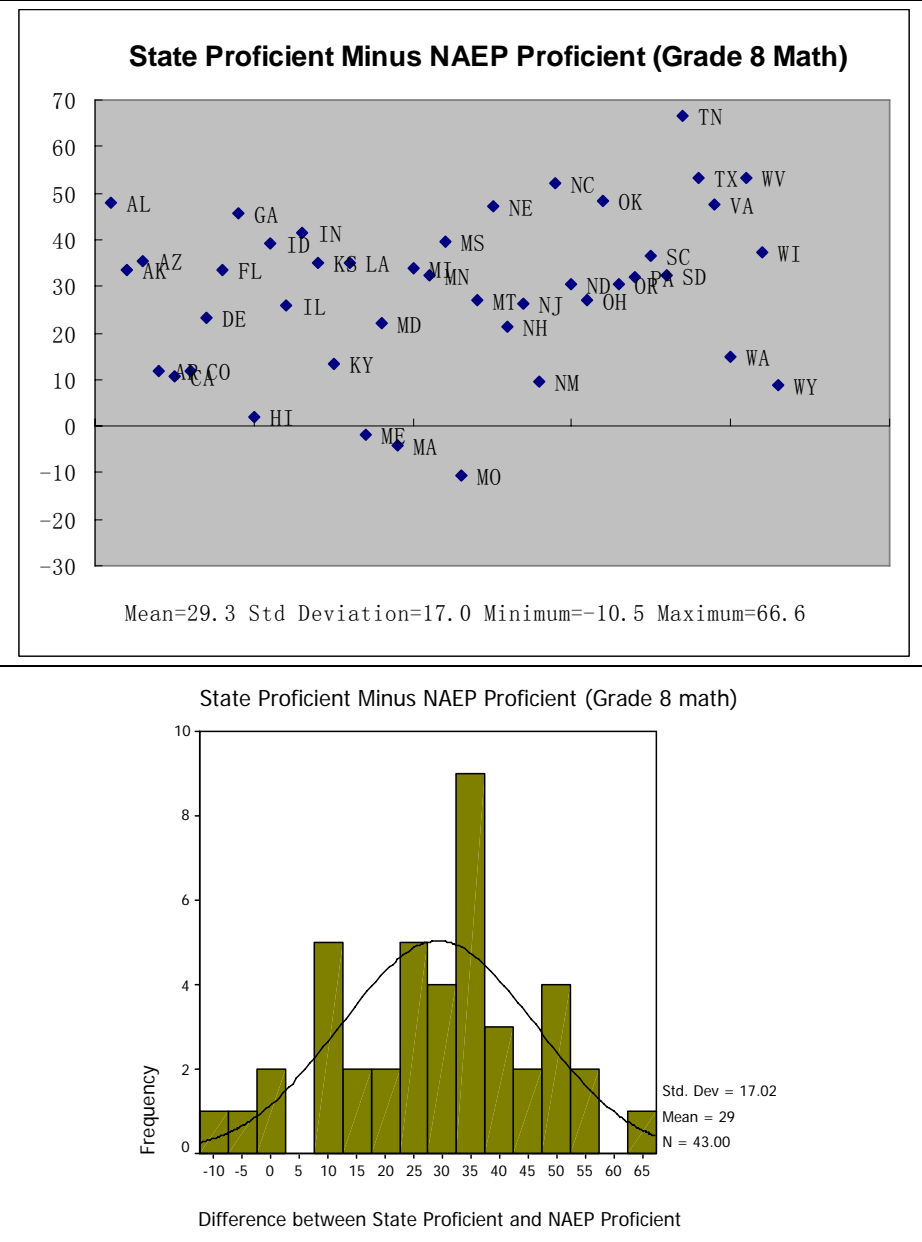


Table 1 summarizes the descriptive statistics for the differences appearing in Figures 4 through 11 between the percentages at and above the state proficient level minus the NAEP basic or proficient level. In reading, the average state percent approximates the average NAEP percent for basic; the average differences are within ten percentage points. Differences between state percentages and percentages for NAEP proficient are far larger. Thus,

state proficient levels, on average, are approximately equally realistic (or idealistic) as the NAEP basic standard for reading and more realistic (less idealistic) than the NAEP proficient standard.

Average differences between states and NAEP in math show a somewhat different pattern. State percentages appear to be smaller on average than NAEP

basic and larger than NAEP proficient. Thus, state proficiency levels appear to be more idealistic than NAEP basic but more realistic than NAEP proficient. Also,

generally speaking, the magnitude of the standard deviation in math is larger than that in reading, indicating larger differences, state-to-state, in math.

**Table 1: Descriptive Statistics for the Differences Between State and NAEP Standards**

State Minus NAEP(%)	N	Minimum	Maximum	Mean	Std. Deviation
Basic grade 4 Reading	43	-27.91	40.96	9.52	14.12
Prof. Grade 4 Reading	43	6.33	70.62	42.92	13.70
Basic Grade 8 Reading	43	-42.00	18.97	-5.81	13.57
Prof Grade 8 Reading	43	1.99	61.02	37.29	13.89
Basic Grade 4 Math	43	-50.59	12.77	-11.69	16.48
Prof Grade 4 Math	43	-8.78	59.47	33.03	16.75
Basic Grade 8 Math	43	-52.57	26.25	-11.36	17.08
Prof Grade 8 Math	43	-10.52	66.64	29.27	17.02

**Bivariate Analyses**

In this section, we organized the differences displayed in Figures 3 through 11 into scatter plots showing the (in)consistency of state-NAEP differences across states for the two grades (4 and 8) in a given content and for the two contents (reading and math) in a given grade. These results are shown first for NAEP Basic and then for NAEP Proficient. In interpreting these graphs, note that the axes have different maximums, so the lines of equality will not appear as diagonals.

**1. NAEP Basic**

Figure 12 shows each state’s consistency between grades four and eight on reading percents proficient adjusting for NAEP percents basic. States toward the lower left show smaller percents proficient than NAEP basic (more idealistic) and states in the upper right show greater percents proficient than NAEP basic (more realistic). States along the line of equality show equivalent degrees of idealism-realism for the two grade levels when adjusting for NAEP percent basic, indicating vertical moderation.

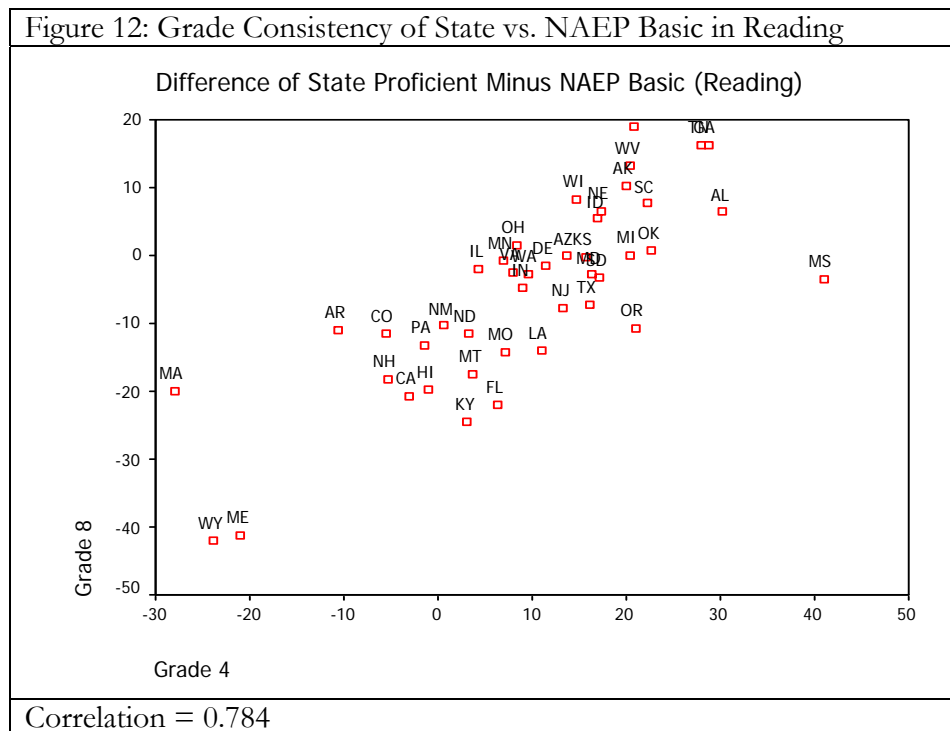


Figure 13 shows each state’s consistency between grades four and eight on math percents proficient

adjusting for NAEP percents basic. States toward the lower left show smaller percents proficient than NAEP



basic (more idealistic) and states in the upper right show greater percents proficient than NAEP basic (more realistic). States along the line of equality show equivalent

degrees of idealism-realism for the two grade levels when adjusting for NAEP percent basic, indicating vertical moderation.

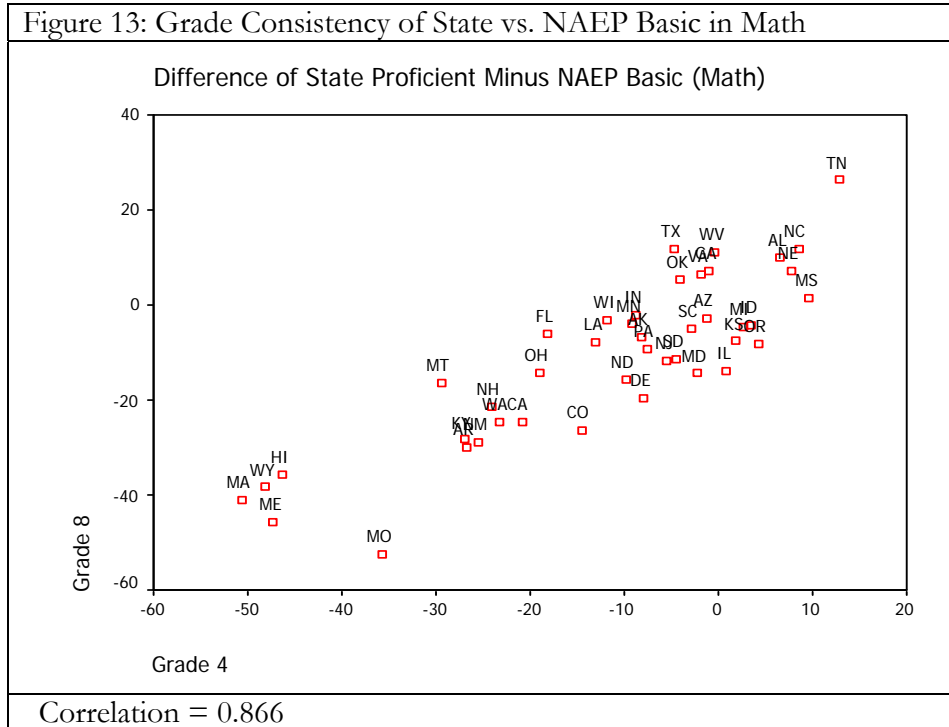
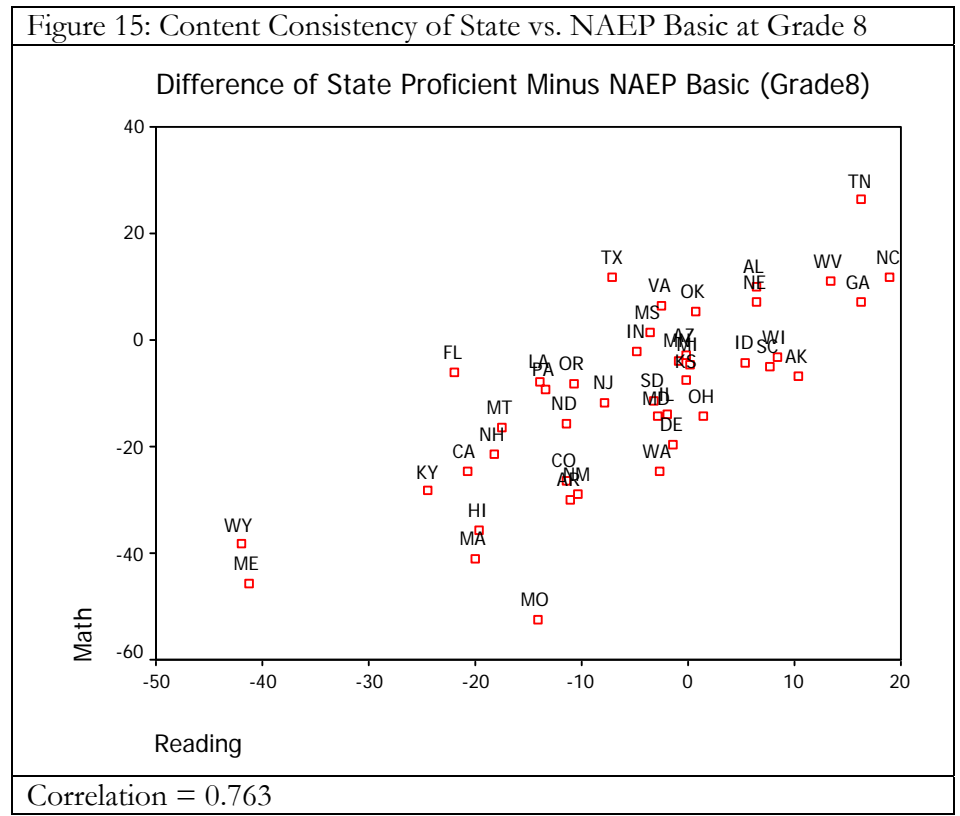
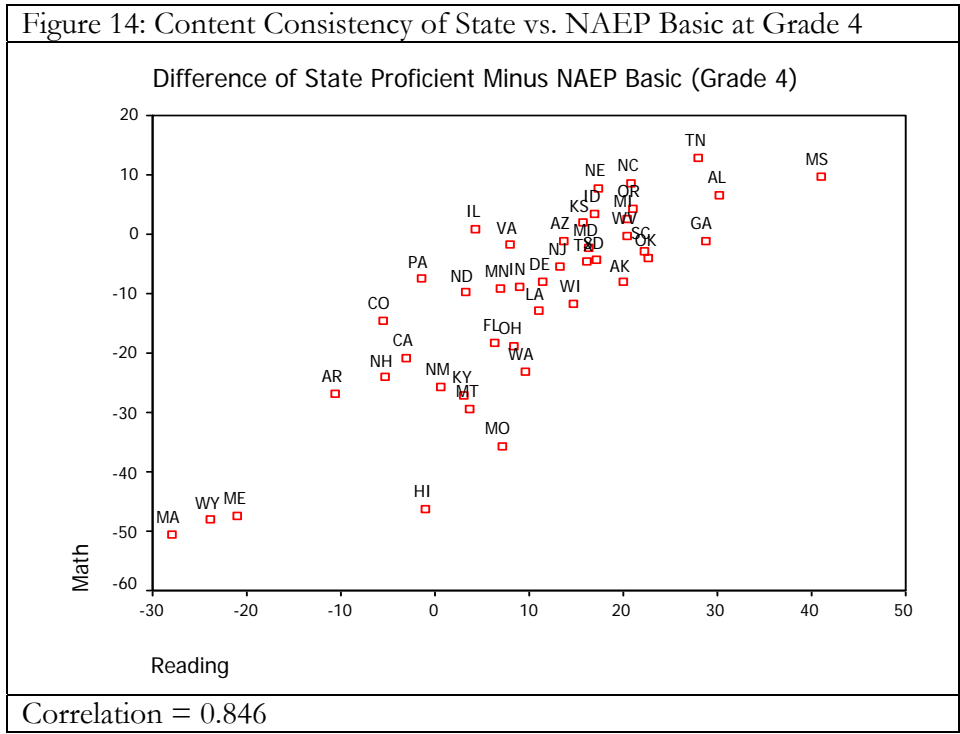


Figure 14 shows each state's consistency between reading and math on grade four percents proficient adjusting for NAEP percents basic. States toward the lower left show smaller percents proficient than NAEP basic (more idealistic) and states in the upper right show greater percents proficient than NAEP basic (more realistic). States along the line of equality show equivalent degrees of idealism-realism for the two contents when adjusting for NAEP percent basic, indicating horizontal moderation.

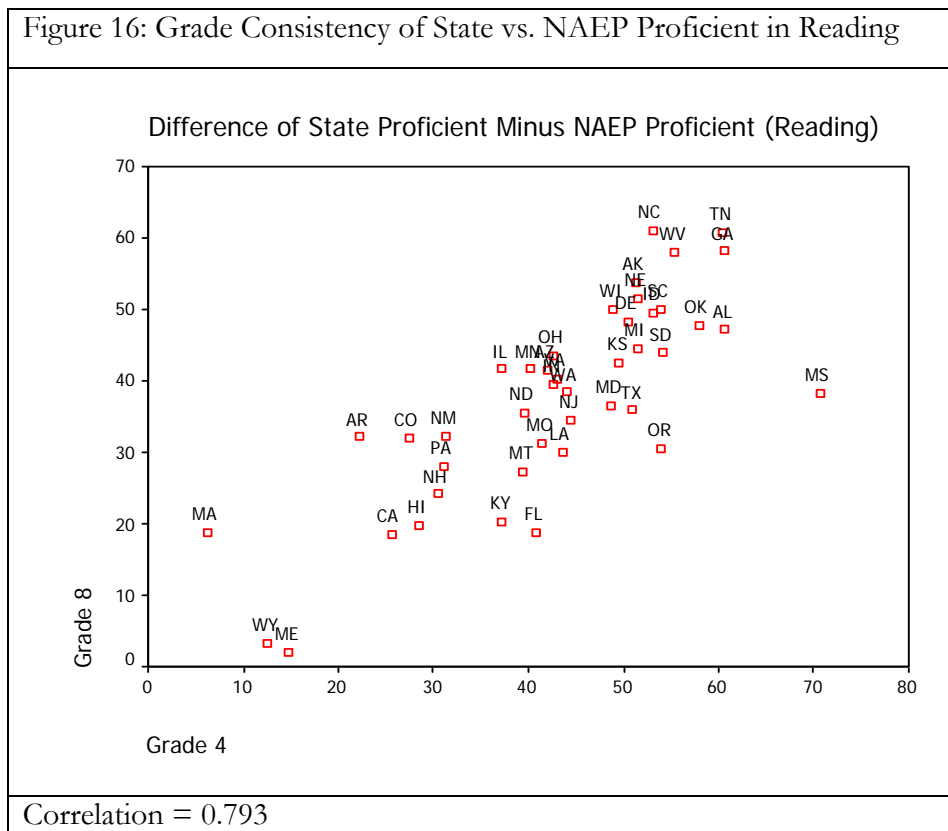
Figure 15 shows each state's consistency between reading and math on grade eight percents proficient adjusting for NAEP percents basic. States toward the lower left show smaller percents proficient than NAEP basic (more idealistic) and states in the upper right show greater percents proficient than NAEP basic (more realistic). States along the line of equality show equivalent degrees of idealism-realism for the two contents when adjusting for NAEP percent basic, indicating horizontal moderation.



**2. NAEP Proficient**

Figure 16 shows each state's consistency between grades four and eight on reading percents proficient adjusting for NAEP percents proficient. States toward the lower left show percents proficient closer to NAEP proficient (more idealistic) and states in the upper right show percents proficient further from NAEP proficient (more realistic). States along the line of equality show equivalent degrees of idealism-realism for the grades four and eight when adjusting for NAEP percent proficient, indicating vertical moderation.

Figure 17 shows each state's consistency between grades four and eight on math percents proficient adjusting for NAEP percents proficient. States toward the lower left show lower percents proficient than NAEP proficient (more idealistic) and states in the upper right show higher percents proficient than NAEP proficient (more realistic). States along the line of equality show equivalent degrees of idealism-realism for the grades four and eight when adjusting for NAEP percent proficient, indicating vertical moderation.



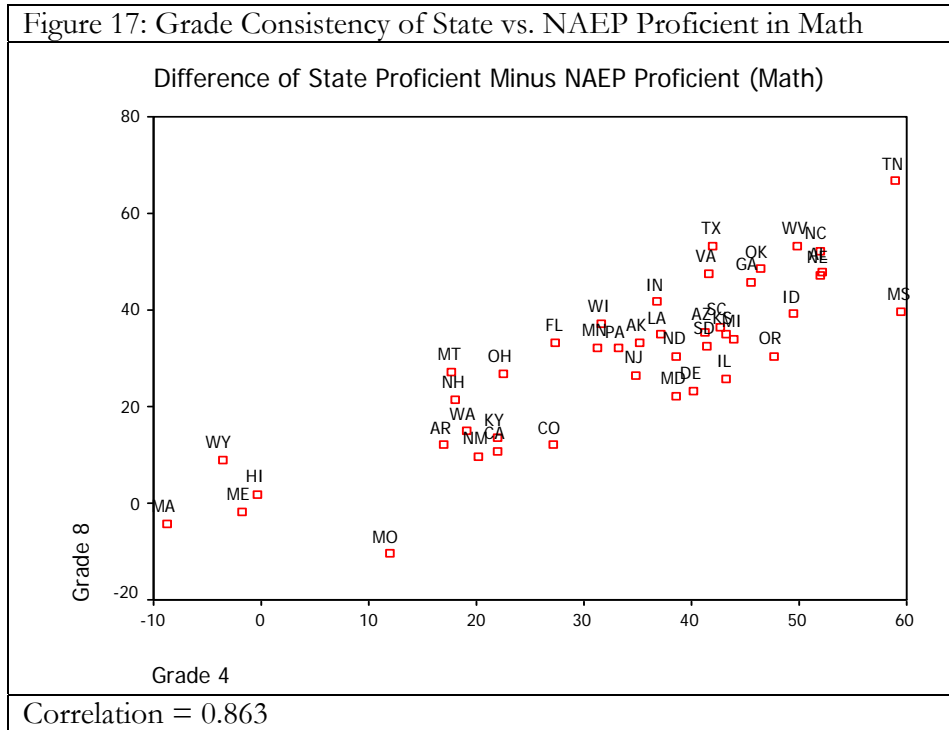


Figure 18 shows each state's consistency between reading and math percents proficient adjusting for NAEP percents proficient at grade four. States toward the lower left show lower percents proficient than NAEP proficient (more idealistic) and states in the upper right show higher

percents proficient than NAEP proficient (more realistic). States along the line of equality show equivalent degrees of idealism-realism for reading and math when adjusting for NAEP percent proficient, indicating horizontal moderation.

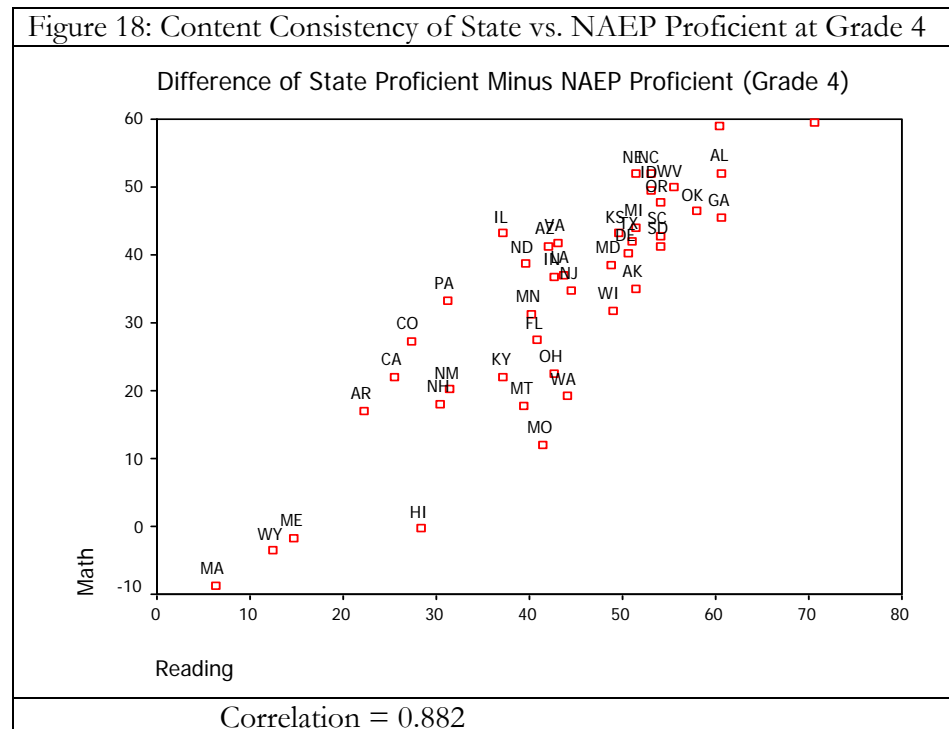
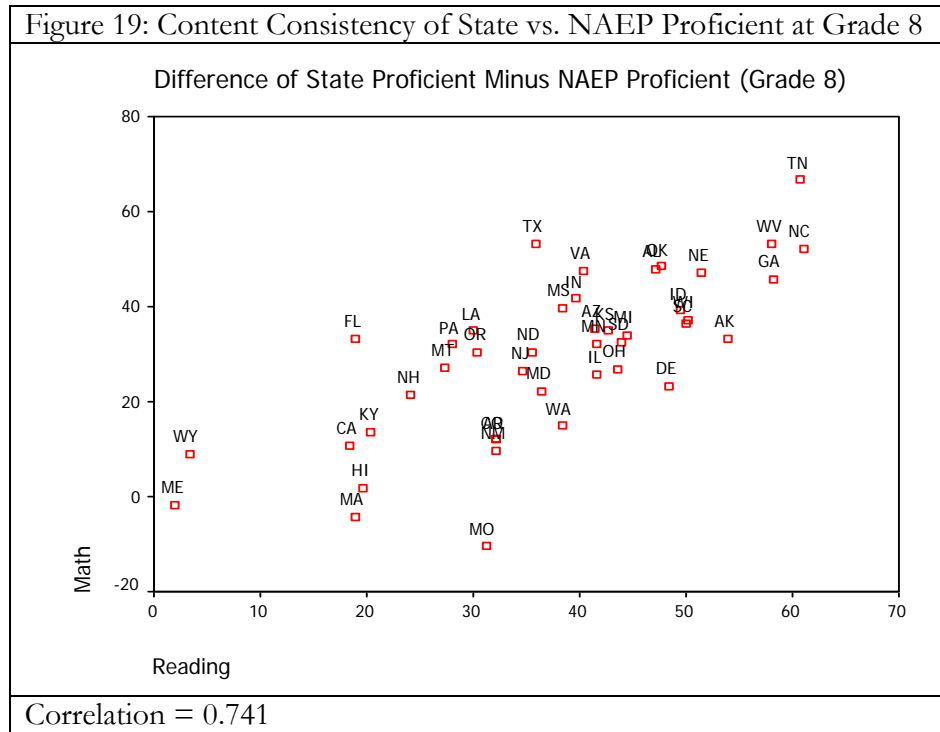


Figure 19 shows each state's consistency between reading and math percents proficient adjusting for NAEP percents proficient at grade eight. States toward the lower left show lower percents proficient than NAEP proficient (more idealistic) and states in the upper right show higher

percents proficient than NAEP proficient (more realistic). States along the line of equality show equivalent degrees of idealism-realism for reading and math when adjusting for NAEP percent proficient, indicating horizontal moderation.



Figures 12 through 19 each indicate moderation of state results when NAEP, either Basic or Proficient, is taken into account. For common content areas, Figures 12 and 13 (for NAEP Basic) and Figures 16 and 17 (for NAEP Proficient) demonstrate substantial consistency in state results between grades four and eight, with the cross-grade correlations ranging from .784 to .866. The correlations between contents in Figures 14 and 15 (for NAEP Basic) and Figures 18 and 19 (for NAEP Proficient) also show consistency between content areas at each grade, with correlations ranging from .741 to .882. Apparently the trend we noted in the univariate analyses, that math performance standards are more idealistic than reading when taking NAEP into account, is relatively consistent across states.

## DISCUSSION AND CONCLUSIONS

We used the typical (sixth-grade) predictions of the regression lines of percent proficient on grade level to evaluate the consistency of state expectations for reading and math and compared them in a graphic (see Figure 1). When expectations are equivalent for reading and math, the state would appear on the diagonal in Figure 1. Those that are well away from the diagonal run a risk of

over-identifying schools as in need of improvement in one content area and a corresponding risk of under-identifying schools in the other content. This may have implications for asymmetric resource allocations.

We used the slopes of the regression lines of percent proficient on grade level to evaluate one aspect (direction) of vertical moderation. If the slopes are flat, then grade levels are approximately equally likely to be the cause of identification of a school as in need of improvement. Those states that show flat regression lines in both contents are near the origin (0,0) point in Figure 2. But if the slopes are not flat, then we can evaluate whether the same increasing or decreasing pattern is or is not in common between the two contents. If the pattern is the same, then the state should appear on the diagonal in Figure 2.

Another aspect of vertical moderation (smoothness) can be evaluated by whether the percents proficient are predictable from grade-to-grade, whether the overall pattern is increasing, decreasing, or flat. In Figure 3, we evaluated deviations from regressions of percents proficient on grade three to grade eight. States that are near the origin show greater smoothness in vertical moderation and most states appear to cluster there.

There appears to be a trend toward using NAEP Basic as opposed to NAEP Proficient as the benchmark for states' Proficient categories. Although it could be argued that, in the light of the striking variation along the idealistic-realistic dimension among states, there is little value in answering this question for states in general; Figures 4 through 11 and Table 1, which show deviations from the two NAEP achievement level percentages, suggest that NAEP Basic is more consistent with states' Proficient expectation than is NAEP Proficient, but more so for reading than math.

It could be argued that states may not show much consistency between contents and between grades because there are real differences in instructional effectiveness in one or both these directions. If so, then perhaps using NAEP percentages as benchmarks and comparing states' differences with them could become interesting. Figures 12 through 19 display those results. Vertical moderation with respect to NAEP is shown in Figures 12, 13, 16, and 17; states close to the line of equality show vertical moderation when NAEP is taken into account. Horizontal moderation is shown in Figures 14, 15, 18, and 19; states close to the line of equality show horizontal moderation when NAEP is taken into account.

## RECOMMENDATIONS AND LIMITATIONS

We have determined that states differ significantly in the various indices that we have developed for evaluating states' vertical and horizontal moderation and in their relationship with NAEP results. The value of these analyses in evaluating the standards set in states will in part depend on how they are related with the results of educational reform efforts that states undertake. Are idealistic expectations motivating or discouraging; are realistic expectations motivating or encouraging? There do not appear to be clear ways to answer these questions at this time. Perhaps this paper can suggest ways to compare states on the characteristics we have described. Researchers are encouraged to quantify and study differences like those we have found as predictors of improvement as measured using states' results and/or NAEP results from future administration as correlates of change.

The National Assessment Governing Board's Ad Hoc Committee on Confirming Test Results (2002) has recommended that point-by-point comparisons between state percents proficient and NAEP results not be used to declare state tests valid or not valid. Thus, we will not comment on states' individual results. Each state can evaluate its own position in the graphs and determine if any implications for action exist. It is neither our purpose

to argue the value of any particular configuration of percents proficient nor any particular comparison between a state's results and those from NAEP. The current importance of these results to states depends on their idiosyncratic policy goals.

The comparisons we made between state and NAEP results should be interpreted in the light of several limitations. Among these are:

- Possible instability of NAEP results at grades four and eight. Sampling errors in percents proficient and basic were not incorporated into our analyses.
- Possible instability of state results at all grades. Even though all students in the state are included and therefore statistics are relatively free of student sampling error, sampling error in content coverage (alignment) for different test forms can affect student scores, as can cohorts from year to year, particularly for small states or for those experiencing demographic shifts.
- Changes in the enacted curriculum. As teachers and their supervisors react to tests that are more and more important to them, their curricular, and therefore instructional goals may become more consistent with those expressed by the state.
- Content equivalence between state and NAEP content. While states commonly use NAEP (along with other) frameworks to validate their own, it is possible that NAEP does not represent the content standards of states in a way that is fair to all, which can affect the validity of the comparisons we have made.
- Cut score equivalence. Some states are still in the process of determining cut scores for their proficient achievement levels and Nebraska's results are amalgamated across several cut score determinations. Therefore, the data for some states may be out-of-date.
- Data errors. Public web sites were used to generate all the data used in this report. Each of these sites used its own means of expression of its data. While care was taken to ensure accuracy, it is possible that errors inadvertently were introduced into the results. We apologize in advance if this may have occurred.

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### Appendix

**Data sources:** (URL's verified on Aug 26, 2007)

#	State	URLs for state assessment
1	AK Alaska	<a href="http://www.eed.state.ak.us/reportcard/2004-2005/5Assessment%20Results/Spring%202005%20Statewide%20SBA%20Results.pdf">http://www.eed.state.ak.us/reportcard/2004-2005/5Assessment%20Results/Spring%202005%20Statewide%20SBA%20Results.pdf</a> <a href="http://www.eed.state.ak.us/reportcard/2004-2005/5Assessment%20Results/Spring%202005%20Statewide%20HSGQE%20Results.pdf">http://www.eed.state.ak.us/reportcard/2004-2005/5Assessment%20Results/Spring%202005%20Statewide%20HSGQE%20Results.pdf</a>
2	AL Alabama	<a href="http://www.alsde.edu/Accountability/Accountability.asp">http://www.alsde.edu/Accountability/Accountability.asp</a> <a href="http://www.alsde.edu/Accountability/2005Reports/AL2005ARMT_0624051.pdf">http://www.alsde.edu/Accountability/2005Reports/AL2005ARMT_0624051.pdf</a>
3	AR Arkansas	<a href="http://arkansased.org/testing/test_scores_archive.html#2005">http://arkansased.org/testing/test_scores_archive.html#2005</a>
4	AZ Arizona	<a href="http://www.ade.state.az.us/srcs/statereportcards/statereportcard04-05.pdf">http://www.ade.state.az.us/srcs/statereportcards/statereportcard04-05.pdf</a>
5	CA California	<a href="http://star.cde.ca.gov/star2005/viewreport.asp?ps=true&amp;lstTestYear=2004&amp;lstTestType=C&amp;lstCounty=&amp;lstDistrict=&amp;lstSchool=&amp;lstGroup=1&amp;lstSubGroup=1">http://star.cde.ca.gov/star2005/viewreport.asp?ps=true&amp;lstTestYear=2004&amp;lstTestType=C&amp;lstCounty=&amp;lstDistrict=&amp;lstSchool=&amp;lstGroup=1&amp;lstSubGroup=1</a>
6	CO Colorado	<a href="http://www.cde.state.co.us/cdeassess/documents/csap/csap_summary.html">http://www.cde.state.co.us/cdeassess/documents/csap/csap_summary.html</a>
7	CT* Connecticut	<a href="http://www.captreports.com/web2005/Summary/ERG/OS.html">http://www.captreports.com/web2005/Summary/ERG/OS.html</a> (for grade 10 only); State report for NCLB could not be opened: <a href="http://www.csde.state.ct.us/public/cedar/nclb/dist_school_nclb_results/index.htm">http://www.csde.state.ct.us/public/cedar/nclb/dist_school_nclb_results/index.htm</a>
8	DC* District of Columbia	There is only combined data at elementary level and secondary level.
9	DE Delaware	<a href="http://www.doe.state.de.us/files/pdf/de_edreportcard200405.pdf">http://www.doe.state.de.us/files/pdf/de_edreportcard200405.pdf</a>
10	FL Florida	<a href="http://fcatt.fldoe.org/fcinfog.asp">http://fcatt.fldoe.org/fcinfog.asp</a>
11	GA Georgia	<a href="http://reportcard2005.gaosa.org/k12/reports.aspx?ID=ALL:ALL&amp;TestKey=C*4&amp;TestType=qcc">http://reportcard2005.gaosa.org/k12/reports.aspx?ID=ALL:ALL&amp;TestKey=C*4&amp;TestType=qcc</a>
12	HI Hawaii	<a href="http://arch.k12.hi.us/PDFs/nclb/2005/NCLB999.pdf">http://arch.k12.hi.us/PDFs/nclb/2005/NCLB999.pdf</a>
13	IA* Iowa	There is only biennium data for 2003-2005
14	ID Idaho	<a href="http://www.sde.state.id.us/ipd/avpassessment05/default.asp">http://www.sde.state.id.us/ipd/avpassessment05/default.asp</a>
15	IL Illinois	<a href="http://webprod1.isbe.net/ereportcard/publicsite/getSearchCriteria.aspx">http://webprod1.isbe.net/ereportcard/publicsite/getSearchCriteria.aspx</a>
16	IN Indiana	<a href="http://www.doe.state.in.us/reed/newsr/2005/12-December/051214prOverview.pdf">http://www.doe.state.in.us/reed/newsr/2005/12-December/051214prOverview.pdf</a>
17	KS Kansas	<a href="http://www.ksde.org/LinkClick.aspx?fileticket=gZ/pBgZv71g=&amp;tabid=228">http://www.ksde.org/LinkClick.aspx?fileticket=gZ/pBgZv71g=&amp;tabid=228</a>
18	KY Kentucky	<a href="http://apps.kde.state.ky.us/secure_cats_reports_05/index.cfm?fuseaction=main.display_regionstate">http://apps.kde.state.ky.us/secure_cats_reports_05/index.cfm?fuseaction=main.display_regionstate</a>
19	LA Louisiana	<a href="http://www.doe.state.la.us/ldc/uploads/7714.pdf">http://www.doe.state.la.us/ldc/uploads/7714.pdf</a>
20	MA Massachusetts	<a href="http://www.doe.mass.edu/mcas/2005/results/summary.pdf">http://www.doe.mass.edu/mcas/2005/results/summary.pdf</a>
21	MD Maryland	<a href="http://msp.msde.state.md.us/downloadindex.aspx?K=99AAAA">http://msp.msde.state.md.us/downloadindex.aspx?K=99AAAA</a>
22	ME Maine	<a href="http://www.maine.gov/education/mea/edmea.htm">http://www.maine.gov/education/mea/edmea.htm</a>
23	MI Michigan	<a href="http://www.michigan.gov/documents/FALL_2005_STATEWIDE_MEAP_RESULTS_151913_7.pdf">http://www.michigan.gov/documents/FALL_2005_STATEWIDE_MEAP_RESULTS_151913_7.pdf</a>
24	MN Minnesota	<a href="http://education.state.mn.us/MDE/Data/Data_Downloads/Accountability_Data/Assessment_MCA_II/MCA_II_Tab_delimited_files/index.html">http://education.state.mn.us/MDE/Data/Data_Downloads/Accountability_Data/Assessment_MCA_II/MCA_II_Tab_delimited_files/index.html</a>
25	MO Missouri	<a href="http://dese.mo.gov/divimprove/assess/stateresults.html.pdf">http://dese.mo.gov/divimprove/assess/stateresults.html.pdf</a> ; <a href="http://dese.mo.gov/divimprove/assess/State_MAP2005_Reading.pdf">http://dese.mo.gov/divimprove/assess/State_MAP2005_Reading.pdf</a>
26	MS Mississippi	<a href="http://orsap.mde.k12.ms.us:8080/MAARS/indexProcessor.jsp">http://orsap.mde.k12.ms.us:8080/MAARS/indexProcessor.jsp</a>
27	MT Montana	<a href="http://www.opi.mt.gov/PDF/Measurement/rptDistCrtResults2005.pdf">http://www.opi.mt.gov/PDF/Measurement/rptDistCrtResults2005.pdf</a>
28	NC North Carolina	<a href="http://disag.ncpublicschools.org/2005/">http://disag.ncpublicschools.org/2005/</a>
29	ND North Dakota	<a href="http://www.dpi.state.nd.us/resource/biennial.PDF">http://www.dpi.state.nd.us/resource/biennial.PDF</a>
30	NE Nebraska	<a href="http://reportcard.nde.state.ne.us/20042005/DownloadFiles/ReportCard20042005_Inside.pdf">http://reportcard.nde.state.ne.us/20042005/DownloadFiles/ReportCard20042005_Inside.pdf</a>
31	NH New Hampshire	<a href="http://www.ed.state.nh.us/education/doe/organization/curriculum/NECAP/NECAP_results.htm">http://www.ed.state.nh.us/education/doe/organization/curriculum/NECAP/NECAP_results.htm</a> (For grade 3-8) <a href="http://www.ed.state.nh.us/education/doe/organization/curriculum/Assessment/20">http://www.ed.state.nh.us/education/doe/organization/curriculum/Assessment/20</a>

			<a href="#">05/Sta.pdf</a> (For grade 10)
32	NJ	New Jersey	<a href="http://www.nj.gov/njded/schools/achievement/2006/njask3/summary.pdf">http://www.nj.gov/njded/schools/achievement/2006/njask3/summary.pdf</a> (For grade 3, 4); <a href="http://www.nj.gov/njded/schools/achievement/2006/gepa/summary.pdf">http://www.nj.gov/njded/schools/achievement/2006/gepa/summary.pdf</a> (For grade 8); <a href="http://www.nj.gov/njded/schools/achievement/2006/hspa/summary.pdf">http://www.nj.gov/njded/schools/achievement/2006/hspa/summary.pdf</a> (For grade 11).
33	NM	New Mexico	<a href="http://ped.state.nm.us/div/acc.assess/accountability/DistrictReportCard.html">http://ped.state.nm.us/div/acc.assess/accountability/DistrictReportCard.html</a>
34	NV*	Nevada	The most recent data we can find is in 2004.
35	NY*	New York	No data for 2005
36	OH	Ohio	<a href="http://www.ode.state.oh.us/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&amp;TopicRelationID=116&amp;ContentID=34744&amp;Content=34857">http://www.ode.state.oh.us/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&amp;TopicRelationID=116&amp;ContentID=34744&amp;Content=34857</a>
37	OK	Oklahoma	<a href="http://title3.sde.state.ok.us/studentassessment/2005results/reportcard2005state.pdf">http://title3.sde.state.ok.us/studentassessment/2005results/reportcard2005state.pdf</a>
38	OR	Oregon	<a href="http://www.ode.state.or.us/data/annreportcard/rptcard2005.pdf">http://www.ode.state.or.us/data/annreportcard/rptcard2005.pdf</a>
39	PA	Pennsylvania	<a href="http://www.pde.state.pa.us/a_and_t/cwp/view.asp?a=3&amp;q=115272">http://www.pde.state.pa.us/a_and_t/cwp/view.asp?a=3&amp;q=115272</a>
40	RI*	Rhode Island	The most recent data is in 2004: <a href="http://131.109.26.252/reportcard/04/">http://131.109.26.252/reportcard/04/</a>
41	SC	South Carolina	<a href="http://ed.sc.gov/topics/assessment/scores/pact/2005/statescoresgrade.cfm">http://ed.sc.gov/topics/assessment/scores/pact/2005/statescoresgrade.cfm</a>
42	SD	South Dakota	<a href="https://sis.ddncampus.net:8081/nclb/portal/portal.xml?&amp;extractID=7">https://sis.ddncampus.net:8081/nclb/portal/portal.xml?&amp;extractID=7</a>
43	TN	Tennessee	<a href="http://www.k-12.state.tn.us/rptcrd05/state2.asp">http://www.k-12.state.tn.us/rptcrd05/state2.asp</a>
44	TX	Texas	<a href="http://www.tea.state.tx.us/perfreport/aeis/2005/state.html">http://www.tea.state.tx.us/perfreport/aeis/2005/state.html</a>
45	UT*	Utah	<a href="http://www.usoe.k12.ut.us/eval/Results.asp">http://www.usoe.k12.ut.us/eval/Results.asp</a>
46	VA	Virginia	<a href="https://p1pe.doe.virginia.gov/reportcard/report.do?division=All&amp;schoolName=All">https://p1pe.doe.virginia.gov/reportcard/report.do?division=All&amp;schoolName=All</a>
47	VT*	Vermont	<a href="http://www.state.vt.us/educ/new/pdfdoc/pgm_assessment/cas/cas_99_05_statewide.pdf">http://www.state.vt.us/educ/new/pdfdoc/pgm_assessment/cas/cas_99_05_statewide.pdf</a>
48	WA	Washington	<a href="http://reportcard.ospi.k12.wa.us/">http://reportcard.ospi.k12.wa.us/</a>
49	WI	Wisconsin	<a href="http://data.dpi.state.wi.us/data/graphshell.asp?SubjectID=3MA&amp;GraphFile=GEDISA&amp;DETAIL=YES&amp;Grade=10&amp;Group=AllStudentsFAY&amp;EligibleOnly=NO&amp;Level=ALL&amp;WOW=WSAS&amp;RGLEVEL=ST&amp;FULLKEY=ZZZZZZZZZZ&amp;DN=None+Chosen&amp;SN=None+Chosen">http://data.dpi.state.wi.us/data/graphshell.asp?SubjectID=3MA&amp;GraphFile=GEDISA&amp;DETAIL=YES&amp;Grade=10&amp;Group=AllStudentsFAY&amp;EligibleOnly=NO&amp;Level=ALL&amp;WOW=WSAS&amp;RGLEVEL=ST&amp;FULLKEY=ZZZZZZZZZZ&amp;DN=None+Chosen&amp;SN=None+Chosen</a> <a href="http://dpi.wi.gov/oea/spr_kce.html">http://dpi.wi.gov/oea/spr_kce.html</a>
50	WV	West Virginia	<a href="https://wveis.k12.wv.us/nclb/pub/rpt0405/chartdata05rcs.cfm?year=05&amp;county=999&amp;school=999&amp;coname=&amp;rptnum=1&amp;rpge=pickreportcard.cfm">https://wveis.k12.wv.us/nclb/pub/rpt0405/chartdata05rcs.cfm?year=05&amp;county=999&amp;school=999&amp;coname=&amp;rptnum=1&amp;rpge=pickreportcard.cfm</a>
51	WY	Wyoming	<a href="https://wdesecure.k12.wy.us/stats/wde_public_esc.show_menu">https://wdesecure.k12.wy.us/stats/wde_public_esc.show_menu</a>
52	PR*	Puerto Rico	The link could not be opened: <a href="http://eduportal.de.gobierno.pr/EDUPortal/default.htm">http://eduportal.de.gobierno.pr/EDUPortal/default.htm</a>

\* State without sufficient data for 2005

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